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APPLICATION NO. FILING DATE		ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/954,557		09/17/2001	Masoud Molaei	ENDOV-55675 7940		
24201	7590	09/08/2003				
		ON LEE & UTEC	EXAMINER			
HOWARD I 6060 CENTI		*	BUI, VY Q			
TENTH FLO		90045		ART UNIT PAPER NUMBER		
LOUMINGL	LLO, CA	70043		3731	12	
				DATE MAILED: 09/08/2003	$\mathcal{O}$	

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)	$-\epsilon$
	<b>V</b>	09/954,557	MOLAEI, MASOUD	
	Office Action Summary	Examiner	Art Unit	
		Vy Q. Bui	3731	
	The MAILING DATE of this communication app	<u>1:</u>		
Period fo	• •			
THE   - Exte after - If the - If NC - Failu - Any	ORTENED STATUTORY PERIOD FOR REPLY MAILING DATE OF THIS COMMUNICATION. Insions of time may be available under the provisions of 37 CFR 1.11 SIX (6) MONTHS from the mailing date of this communication. It is period for reply specified above is less than thirty (30) days, a reply operiod for reply is specified above, the maximum statutory period variet to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailing end patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a representation of thing within the statutory minimum of thing will apply and will expire SIX (6) MON, cause the application to become Al	eply be timely filed  y (30) days will be considered timely.  THS from the mailing date of this communication  ANDONED (35 U.S.C. § 133).	n.
1)⊠	Responsive to communication(s) filed on 11 J	lune 2003 .		
2a)⊠		is action is non-final.		
3)□	Since this application is in condition for allowa	ance except for formal ma	tters, prosecution as to the merits	is
,	closed in accordance with the practice under			
•	ion of Claims  Claim(a) 14 24 is/are pending in the application	<b>.</b> n		
•	Claim(s) <u>14-24</u> is/are pending in the application			
	4a) Of the above claim(s) is/are withdray	with from consideration.		
·	Claim(s) is/are allowed.			
·	Claim(s) <u>14-24</u> is/are rejected.			
-	Claim(s) is/are objected to.	1		
	Claim(s) are subject to restriction and/o ion Papers	r election requirement.		
9)[	The specification is objected to by the Examine	г.		
10)	The drawing(s) filed on is/are: a)☐ accep	oted or b) objected to by t	he Examiner.	
	Applicant may not request that any objection to the	e drawing(s) be held in abeya	ance. See 37 CFR 1.85(a).	
11) 🔲	The proposed drawing correction filed on	_ is: a)  □ approved b)  □ c	isapproved by the Examiner.	
	If approved, corrected drawings are required in rep	ply to this Office action.		
12)	The oath or declaration is objected to by the Ex	aminer.		
Priority (	under 35 U.S.C. §§ 119 and 120			
13)	Acknowledgment is made of a claim for foreign	n priority under 35 U.S.C.	§ 119(a)-(d) or (f).	
a)	☐ All b)☐ Some * c)☐ None of:			
	1. Certified copies of the priority document	s have been received.		
	2. Certified copies of the priority document	s have been received in A	pplication No	
* (	3. Copies of the certified copies of the prior application from the International Bu See the attached detailed Office action for a list	reau (PCT Rule 17.2(a)).	-	
	Acknowledgment is made of a claim for domesti	•		tion).
•	a)  The translation of the foreign language pro	•		,
	Acknowledgment is made of a claim for domest	• •		
Attachmen	nt(s)			
2) Notice	ce of References Cited (PTO-892) ce of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449) Paper No(s) <u>1</u>	5) Notice of	Summary (PTO-413) Paper No(s) Informal Patent Application (PTO-152)	
.S. Patent and T	Frademark Office			

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#### **DETAILED ACTION**

## Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

2. Claims 14-24 are rejected under 35 U.S.C. 102(e) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over MATHIS et al. (6,129,755).

As to claims 14-22, and 24, MATHIS (Fig. 2; column 8, lines 45-54) discloses an intra-vascular self-expanding nitinol stent 50 for a human being restrained by sheath 40 for easy deployment. Nitinol stent 50 comprises from 50.5%-60% Ni and balance of titanium and the austenite finish temperature Af of about 24-37 degree C (column 8, lines 45-54). Notice that stent 50 and sheath/constraint 40 comprise every structural limitation as recited in the claims and because the composition of stent 50 is in the range of the composition of the stent as claimed in the present invention, stent 50 must have martensite deformation temperature about 50 degrees C higher than Af as recited in claim 23 of the present invention.

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Alternatively, it would have been obvious to one of ordinary skill in the art to select the exact composition of Ni and Ti within the range of 50.5%-60% Ni and the balance of Ti so as stent 50 comprising every feature as recited in the claims.

#### Response to Amendment

The amendment filed on June 11, 2003 (entered 6/25/2003 as paper #12) under 37 CFR 1.131 has been considered but is ineffective to overcome the MATHIS et al. reference (US Pat. 6,129,755) reference.

The present invention claims a stent of shape memory alloy (Ni-Ti stent).

MATHIS Ni-Ti shape memory alloy stent comprises substantially all structural limitations of the stent in the present invention as indicated above. MATHIS does not teach a process of deforming the Ni-Ti shape memory stent at or above Md temperature.

However, because a product claim is mainly defined by all of the structural limitations listed in the claim and the MATHIS stent comprises substantially all structural limitations of the stent as claimed in the present invention, the claims of the present invention are unpatentable over MATHIS stent. In re Thorpe 227 USPQ 964,966.

## Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Vy Q. Bui whose telephone number is 703-306-3420. The examiner can normally be reached on Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Milano can be reached on 703-308-2496. The fax phone numbers for the organization where this application or proceeding is assigned are 703-308-2708 for regular communications and 703-308-2708 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0858.

09/07/2003.